

# 3

1753



Patitioner's Docket No. 02208-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gabriele, Alfred M. et al.

Application No.: 09/833,036

Group No.: 1753

Filed: 04/11/2001

Examiner: To be assigned

For: METHOD FOR LEVELING AND COATING A SUBSTRATE AND AN ARTICLE FORMED THEREBY

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement (3 pgs.);  
PTO Form 1449 (3 pgs.);  
Copies of 47 references cited therein; and  
Acknowledgment Postcard.

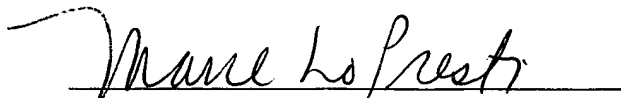
RECEIVED  
DEC 20 2001  
TC 1700

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231

on Dec. 7, 2001.

Marie LoPresti

  
Signature of person mailing paper



ATTORNEY DOCKET NO: 02208-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GABRIELE, Alfred M. et al.  
Serial No: 09/833,036  
Filed: April 11, 2001  
For: METHOD FOR LEVELING AND COATING A SUBSTRATE AND AN  
ARTICLE FORMED THEREBY  
Examiner: To be assigned  
Art Unit: 1753

RECEIVED  
DEC 20 2001  
TC 1700

---

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 7th day of December, 2001.

Signature

---

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

Compliance with 37 C.F.R. §1.97

Art Unit: 1753  
Serial No.: 09/833,036

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

Information Cited

A. The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Remarks

Copies of the references cited on the enclosed Form 1449 are enclosed in accordance with 37 C.F.R. 1.98(d). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

Art Unit: 1753  
Serial No.: 09/833,036

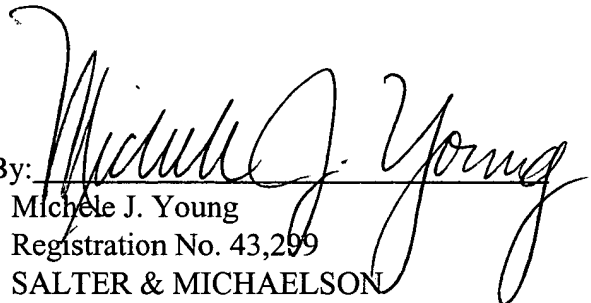
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Patent and Trademark Office is urged to form their own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By: 

Michele J. Young  
Registration No. 43,299  
SALTER & MICHAELSON  
321 South Main Street  
Providence, RI 02903-7128  
Tel. (401) 421-3141  
FAX (401) 861-1953  
Customer No. 000987

Dated: December 7, 2001